

#2



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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/838,537	04/18/2001	Thomas L. Grey	212/323

CONFIRMATION NO. 9275

FORMALITIES LETTER



OC000000006199907

Crockett & Crockett
Suite 400
24012 Calle De La Plata
Laguna Hills, CA 92653

Date Mailed: 06/19/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 355 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$200.
 - \$200 for 5 independent claims over 3 .
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 620.

09838537

A copy of this notice MUST be returned with the reply.

Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

033700

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07/17/2001 13:00:11

355.00 CH
200.00 CH
65.00 CH

01/18/2001
02/18/2001
03/18/2001

#3



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Bib Data Sheet

CONFIRMATION NO. 9275

SERIAL NUMBER 09/838,537	FILING DATE 04/18/2001 RULE	CLASS 514	GROUP ART UNIT 1623	ATTORNEY DOCKET NO. 212/323
APPLICANTS Thomas L. Grey, Residence Not Provided; Gregory J. Gruzdownich, Residence Not Provided;				
** CONTINUING DATA ***** <i>none</i>				
** FOREIGN APPLICATIONS ***** <i>none</i>				
IF REQUIRED, FOREIGN FILING LICENSE GRANTED** SMALL ENTITY ** ** 06/19/2001				
Foreign Priority claimed <input type="checkbox"/> yes <input checked="" type="checkbox"/> no 35 USC 119 (a-d) conditions <input type="checkbox"/> yes <input checked="" type="checkbox"/> no <input type="checkbox"/> Met after met <i>Allowance</i> Verified and Acknowledged <i>Examiner's Signature</i> Initials		STATE OR COUNTRY	SHEETS DRAWING 2	TOTAL CLAIMS 14 3700 MAIL ROOM OCT 10 2001 RECEIVED
ADDRESS Crockett & Crockett Suite 400 24012 Calle De La Plata Laguna Hills ,CA 92653				
TITLE Method of relieving dizziness or vertigo				
FILING FEE RECEIVED 620	FEES: Authority has been given in Paper No. _____ to charge/credit DEPOSIT ACCOUNT No. _____ for following:		<input type="checkbox"/> All Fees <input type="checkbox"/> 1.16 Fees (Filing) <input type="checkbox"/> 1.17 Fees (Processing Ext. of time) <input type="checkbox"/> 1.18 Fees (Issue) <input type="checkbox"/> Other _____ <input type="checkbox"/> Credit	



07.10-01.

Receipt

Docket No. 212/323

IN THE UNITED STATES PATENT & TRADEMARK OFFICE

In re Application of:

Grey, et al.

Serial No.: 09/838,537

Filed: April 18, 2001

For: Method of Relieving
Dizziness or Vertigo

Art Unit: 1623

Examiner: Unassigned

RECEIVED
AUG 30 2001
TECH CENTER 1600/2900

REQUEST FOR CORRECTED FILING RECEIPT

Assistant Commissioner for Patents
Office of Initial Patent Examination
Customer Service Center
Washington, D.C. 20231

RECEIVED
OCT 10 2001
TC 3700 MAIL ROOM

Sir:

Attached is a copy of the official filing receipt dated June 19, 2001 received from the PTO in the above application for which issuance of a corrected filing receipt is respectfully requested.

There is an error with respect to the following, which is incorrectly entered. Please correct spelling of the firm's name from "Crockett & Crockett" to "Crockett & Crockett".

Certificate of Mailing (37 CFR 1.10)

I hereby certify that this response (along with any paper referred to as being attached or enclosed) is being deposited in Express Mail using Express Mail Post Office to Addressee with the United States Postal Service on the date shown below in an envelop addressed to the Assistant Commissioner for Patents, Office of Initial Patent Examination, Customer Service Center, Washington, D.C. 20231.

Express Mail No. EL805376719US
Date: July 9, 2001


Kristen Truong

Error in

Crockett & Crockett

Correct data

Crockett & Crockett

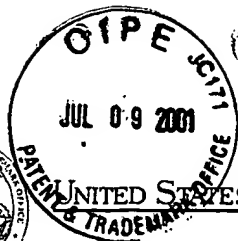
The correction is not due to any error by applicant and no fee is due.

Date: July 9, 2001

By:



K. David Crockett, Esq.
Reg. No. 34,311



COPY

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WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/838,537	04/18/2001	1623	0.00	212/323	2	14	8

CONFIRMATION NO. 9275

FILING RECEIPT



OC00000006199906

*P/S
Correct Firm's
name*

~~Crockett & Crockett~~ *CROCKETT & CROCKETT*
Suite 400
24012 Calle De La Plata
Laguna Hills, CA 92653

Date Mailed: 06/19/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Thomas L. Grey, Residence Not Provided;
Gregory J. Gruzdownich, Residence Not Provided;

Domestic Priority data as claimed by applicant

Foreign Applications

If Required, Foreign Filing License Granted 06/19/2001

Projected Publication Date: To Be Determined - pending completion of Missing Parts

Non-Publication Request: No

Early Publication Request: No

** SMALL ENTITY **

Title

Method of relieving dizziness or vertigo

Preliminary Class

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AUG 30 2001
TECH CENTER 1600/2900

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Transmittal of Missing Parts

To: Hon. Commissioner of Patents and Trademarks
Washington, D. C. 20231

Docket No. 212/323

Sir: Enclosed for filing please find the missing parts for the following patent application:
Inventors: Thomas L. Grey, Gregory J. Gruzdownich
Title: Method of Relieving Dizziness or Vertigo

Enclosed please also find the following papers:

Serial Number 09/838,537

- ☐ 6 pages Specification; 4 pages claims; 1 pages abstract Filing Date April 18, 2001
- ☐ 2 sheets drawings (☐ informal ☒ formal)
- ☒ Declaration and Power of Attorney (☐ combined ☒ separate)
- ☒ Assignment of the invention
- ☒ A Verified Statement Claiming Small Entity Status
- ☒ Form PTO-1533
- ☐ Petition for Grant of Filing Date
- ☐ Declaration in Support of Petition for Grant of Filing Date
- ☐ Preliminary Amendment
- ☐ Petition for Extension of Time
- ☐ Other: _____

The filing fee has been calculated as shown below:

Basic Filing Fee				\$710
Total Claims	14		18	\$0
Independent Claims	8		80	\$400
Multiple Dependent Claims	<input type="checkbox"/>		270	\$0
Surcharge 37 CFR 1.16(E)	<input type="checkbox"/>			\$0
Total Calculations				\$1110
Reduction for Small Entity				\$555
Assignment (\$40)	<input checked="" type="checkbox"/>			\$40
TOTAL FEES				\$595

- ☐ Check No. _____ in the amount of \$595 to cover the above fees is enclosed.
- ☒ The Commissioner is hereby authorized to charge payment of the fees associated with this communication, any deficiency in the fee paid, or credit any overpayment, to Deposit Account 03-3700.

Date: July 9, 2001

By: _____

K. David Crockett, Esq., Reg. No. 34,311

Crockett & Crockett
24012 Calle De La Plata, Suite 400 Tel (949) 588-6171
Laguna Hills, CA 92653 Fax (949) 588-6172

Certificate of Mailing (37 CFR 1.10)

I hereby certify that this transmittal (along with any paper referred to as being attached or enclosed) is being deposited in Express Mail using Express Mail Post Office to Addressee with the United States Postal Service on the date shown below in an envelop addressed to the Assistant Commissioner for Patents, Office of Initial Patent Examination, Customer Service Center, Washington, DC 20231

Express Mail Label EL805376719US

Date: July 9, 2001

Kristen Truong
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